

THE FOLLOWING DOCUMENTS
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SUBJECT:

REF-026884-87



Central Intelligence Agency
Office of the Deputy Director for Intelligence

26 JUL 1987

NOTE TO: Executive Secretary

Attached is a memorandum for your signature that was prepared by OSWR in response to your request to comment on the NSC draft Terms of Reference for Interagency Procedures for US Participation in Space Science Cooperation with the Soviet Union.



Acting Deputy Director for Intelligence

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Grant S. Green

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REMARKS:

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Remarks

To #5: Please provide comments for ES signature for transmittal to NSC.

Executive Secretary
22 Jun '87

Date

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Executive Registry

87-1754X/1

NATIONAL SECURITY COUNCIL
WASHINGTON, D.C. 20506

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UNCLASSIFIED W/
SECRET ATTACHMENTMEMORANDUM FOR MR. DONALD P. GREGG
Assistant to the Vice President
for National Security AffairsMR. MELVYN LEVITSKY
Executive Secretary
Department of StateCOLONEL JAMES F. LEMON
Executive Secretary
Department of DefenseMRS. HELEN ROBBINS
Executive Assistant to the Secretary
Department of CommerceMS. RUTH KNOUSE
Director, Executive Secretariat
Department of TransportationMR. L. WAYNE ARNY
Associate Director for National
Security and International Affairs
Office of Management and BudgetExecutive Secretary
Central Intelligence Agency

STAT

MR. LARRY T. SIZEMORE
Special Assistant to NSC Affairs
Joint Chiefs of StaffMR. WILLIAM B. STAPLES
Executive Secretary
Arms Control & Disarmament AgencyMR. JONATHAN F. THOMPSON
Executive Assistant to the Director
Office of Science and Technology PolicyMR. HENRY E. CLEMENTS
Executive Officer
National Aeronautics and Space
AdministrationMISS NANCY RISQUE
Assistant to the President for
Cabinet AffairsUNCLASSIFIED W/
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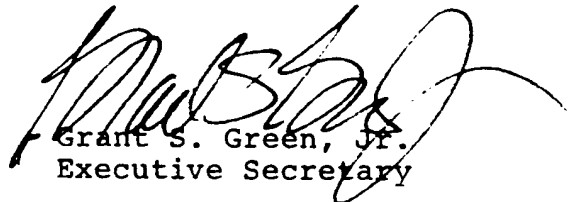
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MR. GARY L. BAUER
Assistant to the President
for Policy Development

SUBJECT: U.S. - Soviet Space Cooperation Working
Group Meeting

As stated in my May 4, 1987, memorandum to you on this subject, it is necessary that U.S. participants in the Joint U.S. - Soviet Working Groups work within an approved charter which establishes responsibilities, guidelines and objectives. After several interagency working group meetings which attempted to achieve consensus on terms of reference for this process, we are close to agreement. The attached revision, which establishes clear policy, accountability, and feedback mechanisms, should not require extensive senior-level involvement unless policy or procedural disputes cannot be resolved at lower levels.

Please provide your concurrence with this draft, or suggested changes, by June 26, 1987. NSC staff point of contact is Roger G. DeKok, 395-7350.


Grant S. Green, Jr.
Executive Secretary

Attachment

Tab A Draft Terms of Reference

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SECRET ATTACHMENT

DRAFT
12 June 1987

Terms of Reference

for

Interagency Procedures for US Participation in
Space Science Cooperation With the Soviet Union

Introduction

This Terms of Reference establishes interagency procedures and mechanism for implementation of the Agreement Between the United States of America and the Union of Soviet Socialist Republics Concerning Cooperation in the Exploration and Use of Outer Space for Peaceful Purposes, signed in Moscow in April of 1987.

In requiring (Article 2) that Joint (US/Soviet) Working Groups be established for implementation of cooperation, the agreement specifies that "recommendations of the Joint Working Groups shall be subject to the approval of each Party in accordance with its appropriate national procedures" and that "designated cooperating agencies shall notify each other of the action taken by [each Party] on the recommendations within three months of their adoption by the Joint Working Groups." Procedures within the USG for implementing Article 2 of the agreement are as follows:

A. Review and Approval of Project Proposals

1. All proposals for cooperation with the Soviet Union in space science must be sponsored by a USG agency and will be submitted to NASA for processing in accordance with their designation as the lead implementing agency within the U.S. government.
2. NASA, with the assistance of the sponsoring agency, will prepare a full description of those projects it proposes to submit to Joint Working Groups. Each description will include sufficient technical and programmatic detail to permit an informed interagency evaluation and a statement of the proposed US position on the project for use at the Joint Working Group meeting. As a minimum, project descriptions will include evaluations of each of the attached considerations.
3. Descriptions will be simultaneously provided to the Committee on Exchanges (COMEX), any other interagency group the DCI may designate to address the considerations listed in the attachment, and to members of an NSC-established IG (Space) Working Group (IWG) with sufficient time for full review prior to the IWG meeting. The IWG, co-chaired by NASA and State, with membership

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from DOD, OSTP, OMB, the DCI and others as appropriate, will review¹ proposed project descriptions and COMEX evaluations to ensure that each proposed project meets national security, foreign policy, and budgetary requirements. The IWG will report its findings to the NSC staff at least 15 days before the scheduled start of the Joint Working Group meeting. If any major issues remain unresolved, the NSC will chair a SIG (Space) Working Group (SWG) meeting no later than 10 days before the scheduled Joint Working Group meeting to resolve the issues identified by the IWG report.

4. The NSC will approve guidance prepared by the IWG for the US delegation to the Joint Working Groups. The SWG will exercise continuing oversight with respect to new project approval, policy decisions, and unresolved implementation or guidance issues.
5. Following each Joint Working Group meeting and prior to providing confirmation to the Soviet Union of the proceedings of Joint Working Groups, NASA will provide the agreed minutes and other relevant supporting documentation to the IWG and to COMEX¹ for the IWG's approval and/or guidance as to any conditions which should be placed on NASA's confirmation of the minutes to its Soviet counterpart. The IWG will report its conclusions through the NSC staff within 45 days of the end of each Joint Working Group for which confirmation is being considered. Open issues will be addressed through a SWG meeting no more than 15 days after the IWG's report is received by the designated NSC office.

B. US/Soviet Joint Working Group Composition and Functions

1. Joint Working Groups will: be composed of scientists and scientific administrators of the two sides in the relevant discipline areas; be co-chaired by the appropriate NASA management official (with an appropriate, mutually agreed role for NOAA in the field of earth sciences) and a Soviet counterpart; be the principal mechanism for detailed planning and monitoring of the agreed cooperative projects; make recommendations to sponsoring/participating government agencies for implementation.

¹ and any other interagency review group the DCI may deem appropriate for review of the special considerations contained in specific project proposals

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2. NASA will ensure US participation is by the most qualified specialists from government departments and agencies, industry and the academic community. Any agency may nominate a member for the US Joint Working Group. Selection of US delegates to Joint Working Groups will be made by NASA subject to oversight by the SWG.
3. The Joint Working Groups will seek appropriate ways to integrate near-term cooperative activities with future directions which cooperation may take, so as to develop a scientifically beneficial program of cooperation, and will provide recommendations to their agency principals as appropriate.
4. The U.S. delegations to Joint Working Groups will develop for NASA and sponsoring agency review and approval: specific project plans; schedules for joint activities; specific lists of data, publications and all other materials to be exchanged as part of cooperative activities; and detailed proposals for required personnel exchanges.
5. The Joint Working Groups will meet as mutually agreed and required. The agenda for each meeting will be agreed in advance. Lists of delegation members to each meeting will be exchanged in advance. The outcome of each meeting will be recorded in agreed minutes or other appropriate documentation, subject to confirmation according to paragraph 5 above. Between Joint Working Group (JWG) meetings, NASA will periodically report to IWG members the substance of all scientific contact between the JWG parties in implementing agreed projects.
6. The U.S. delegations to Joint Working Groups will report on the progress of each project. This feedback report will include statements regarding attainment of objectives, difficulties with project execution and evaluation of the benefits. Such reports will be included in agreed minutes as appropriate and/or as requested by the IWG.

Attachment

Criteria for Project Evaluation

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Remarks to 9: Please provide ES Name of representative, DOB and SSN. ES will pass to NSC.

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Per D/ExecSecty: Action has been changed to TTIC.

Executive Secretary
5 May '87

Date

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Executive Registry

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NATIONAL SECURITY COUNCIL
WASHINGTON, D.C. 20506

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May 4, 1987

MEMORANDUM FOR MR. DONALD P. GREGG
Assistant to the Vice President
for National Security Affairs


MR. MELVYN LEVITSKY
Executive Secretary
Department of State

COLONEL JAMES F. LEMON
Executive Secretary
Department of Defense

MRS. HELEN ROBBINS
Executive Assistant to the Secretary
Department of Commerce

MS. RUTH KNOUSE
Director, Executive Secretariat
Department of Transportation

MR. L. WAYNE ARNY
Associate Director for National
Security and International Affairs
Office of Management and Budget


Executive Secretary
Central Intelligence Agency

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CAPTAIN JOSEPH C. STRASSER
Executive Assistant to the Chairman
Joint Chiefs of Staff

MR. WILLIAM B. STAPLES
Executive Secretary
Arms Control & Disarmament Agency

MR. JONATHAN F. THOMPSON
Executive Assistant to the Director
Office of Science and Technology Policy

MR. HENRY E. CLEMENTS
Executive Officer
National Aeronautics and Space
Administration

MISS NANCY RISQUE
Assistant to the President for
Cabinet Affairs



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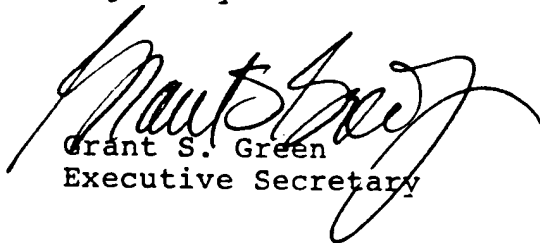
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MR. GARY L. BAUER
Assistant to the President
for Policy Development

SUBJECT: U.S. - Soviet Space Cooperation Working
Group Meeting

Now that the United States and Soviet Union have signed an agreement on space cooperation, it is necessary that an interagency process be formalized to deal with proposals for specific cooperative activities. In addition, it is also necessary that the U.S. participants in the Joint U.S. - Soviet Working Groups work within an approved charter which establishes responsibilities, guidelines, and objectives.

In order to discuss these and any other pertinent subjects, Gerald M. May, of the NSC staff, will chair a working level interagency review group meeting on May 8, 1987, in Room 476, OEOB, at 1400 hours. The proposed agenda for the meeting, a draft Terms of Reference for the U.S. members to the technical working groups and a proposed work plan are attached. Your comments on these documents will be solicited. Please call the name, date of birth, and social security number of your attendee to 395-7350 for building entry.


Grant S. Green
Executive Secretary

Attachments

Tab A	Proposed Meeting Agenda
Tab B	Proposed Terms of Reference
Tab C	Proposed Working Group Work Plan

A

DRAFT AGENDA

INTERAGENCY REVIEW GROUP (IRG)
ON US/USSR SPACE COOPERATION

First Meeting

- I. US/USSR Space Agreement Signature in Moscow: Review of Provisions
- II. IRG on US/USSR Space Cooperation
 - Methods of Operation, Designation of Agency Points of Contact
 - Review of Terms of Reference
- III. Implementation
 - Agency-Level Agreement with Soviets on Financial Arrangements
 - Proposed Schedule, First Round of JWG Meetings
- IV. Detailed Schedule: US/USSR JWG on Space Biology and Medicine, Week of July 26, 1987 (tent.), Moscow or Yerevan, USSR
- V. Next IRG Meeting

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E

April 28, 1987

DRAFT

Terms of Reference

Interagency Review Group and
Joint Working Groups on Space Science Cooperation

- A. Coordination of Participation in Joint Working Group Meetings by the U.S. Side
 - 1. Before each joint working group meeting, NASA will prepare position papers setting parameters for positions the U.S. delegation will take.
 - 2. The position papers, together with any available supporting documentation, will be provided to COMEX for a full review of the intelligence and technology security implications of the proposed exchange.
 - 3. Following the COMEX review, COMEX comments will be provided to the NSC-established Interagency Review Group (IRG) co-chaired by NASA and State, which will review and approve the position papers, insuring that national security, foreign policy and budgetary requirements are met.
 - 4. Following each joint working group meeting and prior to providing confirmation of the joint working group's proceedings to the Soviets, NASA will provide the agreed minutes and other relevant supporting documentation to the IRG and, as appropriate, to COMEX, in order to receive the IRG's approval and/or guidance as to any conditions which should be placed on NASA's confirmation of the minutes.
- B. Composition and Functions of the Joint Working Groups
 - 1. The joint working groups will be composed of scientists and scientific administrators of the two sides, co-chaired by the appropriate NASA management official and his/her Soviet counterpart, and will be the principal mechanism for detailed planning and monitoring of the cooperative aspects of joint projects, and for recommendations to line management.

2. The joint working groups will seek, as appropriate, ways to integrate near-term cooperative activities with future directions which cooperation may take, so as to develop a scientifically beneficial program of cooperation, and will provide recommendations to their principals in this regard.
3. The joint working groups will develop for line management review and approval: specific project plans; schedules for joint activities; specific lists of data, publications and other materials to be exchanged as part of joint activities; and required personnel exchanges.
4. The joint working groups will meet as mutually agreed and required to carry out their work. The agenda for such meetings will be agreed in advance. Lists of delegation members to such meetings also will be exchanged in advance. The outcome of their meetings will be recorded in agreed minutes or other appropriate documentation, subject to confirmation by the designated agencies.

International Affairs Division
NASA Headquarters
April 28, 1987

PROPOSED NASA WORK PLAN FOR
US/USSR JOINT WORKING GROUPS IN SPACE SCIENCE

(all dates and locations tentative)

1st Week of May 1987 -	NASA Space Biology and Medicine (SBM) Position Papers to COMEX and IRG agencies
1st Week of May 1987 -	IRG Meeting: Organizational Issues
1st Week of June 1987 -	IRG Meeting: Review of Positions for SBM JWG Meeting
Late July 1987 -	<u>US/USSR JWG on Space Biology and Medicine, Moscow or Yerevan, USSR</u>
Mid-August 1987	IRG Review of SBM Meeting
Late August 1987	Approval of SBM Meeting Results; Planetary Position Papers to COMEX and IRG
Mid-September 1987	IRG Meeting: Review of Positions for Planetary JWG Meeting
Late October 1987 -	<u>US/USSR JWG on Solar System Exploration, Moscow, USSR</u>
Early November 1987 -	IRG Review of Planetary JWG Meeting; Astronomy/Astrophysics (A/A) Position Papers to COMEX and IRG
Late November 1987 -	Approval of Planetary Meeting Results; IRG Review of Positions for A/A JWG Meeting
December 1987 -	<u>US/USSR JWG on Astronomy and Astrophysics, Washington, DC</u>
Early January 1988	IRG Review of A/A JWG Meeting; Solar-Terrestrial (S-T) Physics Position Papers to COMEX and IRG
Early February 1988 -	Approval of A/A JWG Meeting Results; IRG Review of Positions for S-T JWG Meeting

Late February 1988 -	<u>US/USSR JWG on Solar-Terrestrial Physics, Greenbelt, MD</u>
Early March 1988 -	IRG Review of S-T JWG Meeting; Earth Sciences JWG Position Papers to COMEX and IRG
Early April 1988 -	Approval of S-T JWG Meeting Results; IRG Review of Positions for Earth Sciences JWG Meeting
Late April 1988 -	<u>US/USSR JWG on Earth Sciences, Moscow, USSR</u>
Early May 1988 -	IRG Review of Earth Sciences JWG Meeting; Position Papers on 2nd SBM JWG to COMEX and IRG
Late May 1988	Approval of Earth Sciences JWG Meeting Results

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